

# Monads and algebras

Gejza Jenča

September 9, 2016

# The Plan

- ▶ Example: the free monoid monad.

# The Plan

- ▶ Example: the free monoid monad.
- ▶ Algebras are algebras.

# The Plan

- ▶ Example: the free monoid monad.
- ▶ Algebras are algebras.
- ▶ Algebras in graphs.

# The Plan

- ▶ Example: the free monoid monad.
- ▶ Algebras are algebras.
- ▶ Algebras in graphs.
- ▶ Algebras in maps.

# The Plan

- ▶ Example: the free monoid monad.
- ▶ Algebras are algebras.
- ▶ Algebras in graphs.
- ▶ Algebras in maps.
- ▶ Comonads and coalgebras.

# Disclaimers

- ▶ I will try not to tell you anything about

# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,



# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,
  - ▶ Lawvere theories.

# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,
  - ▶ Lawvere theories.
- ▶ The theory of monads was created in the 1960's by Mac Lane, Eilenberg, Moore, Beck, Freyd, Barr and others.

# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,
  - ▶ Lawvere theories.
- ▶ The theory of monads was created in the 1960's by Mac Lane, Eilenberg, Moore, Beck, Freyd, Barr and others.
- ▶ It can be considered as an attempt to reformulate universal algebra in categorical terms.

# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,
  - ▶ Lawvere theories.
- ▶ The theory of monads was created in the 1960's by Mac Lane, Eilenberg, Moore, Beck, Freyd, Barr and others.
- ▶ It can be considered as an attempt to reformulate universal algebra in categorical terms.
- ▶ Almost everything I will tell you is folklore

# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,
  - ▶ Lawvere theories.
- ▶ The theory of monads was created in the 1960's by Mac Lane, Eilenberg, Moore, Beck, Freyd, Barr and others.
- ▶ It can be considered as an attempt to reformulate universal algebra in categorical terms.
- ▶ Almost everything I will tell you is folklore (in some circles);

# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,
  - ▶ Lawvere theories.
- ▶ The theory of monads was created in the 1960's by Mac Lane, Eilenberg, Moore, Beck, Freyd, Barr and others.
- ▶ It can be considered as an attempt to reformulate universal algebra in categorical terms.
- ▶ Almost everything I will tell you is folklore (in some circles); sometimes the circles are very disjoint.

# Disclaimers

- ▶ I will try not to tell you anything about
  - ▶ adjunctions,
  - ▶ Lawvere theories.
- ▶ The theory of monads was created in the 1960's by Mac Lane, Eilenberg, Moore, Beck, Freyd, Barr and others.
- ▶ It can be considered as an attempt to reformulate universal algebra in categorical terms.
- ▶ Almost everything I will tell you is folklore (in some circles); sometimes the circles are very disjoint.
- ▶ Except for the part about graphs; that one appears to be new.

# What is a monad?

- ▶ A monad is a quadruple of things consisting of



# What is a monad?

- ▶ A monad is a quadruple of things consisting of
  - ▶ a category,

# What is a monad?

- ▶ A monad is a quadruple of things consisting of
  - ▶ a category,
  - ▶ an endofunctor,

# What is a monad?

- ▶ A monad is a quadruple of things consisting of
  - ▶ a category,
  - ▶ an endofunctor,
  - ▶ a unit,

# What is a monad?

- ▶ A monad is a quadruple of things consisting of
  - ▶ a category,
  - ▶ an endofunctor,
  - ▶ a unit,
  - ▶ a multiplication.

# The category

# The category

**Set**

# The endofunctor

- ▶ Let  $X$  be a set.

# The endofunctor

- ▶ Let  $X$  be a set.
- ▶ Let  $X^*$  be the set of all words over  $X$  – (the underlying set of) the free monoid over  $X$ .



# The endofunctor

- ▶ Let  $X$  be a set.
- ▶ Let  $X^*$  be the set of all words over  $X$  – (the underlying set of) the free monoid over  $X$ .
- ▶ Note that the rule  $X \mapsto X^*$  gives us a functor  $\mathbf{Set} \rightarrow \mathbf{Set}$  that acts on mappings as follows:

# The endofunctor

- ▶ Let  $X$  be a set.
- ▶ Let  $X^*$  be the set of all words over  $X$  – (the underlying set of) the free monoid over  $X$ .
- ▶ Note that the rule  $X \mapsto X^*$  gives us a functor **Set**  $\rightarrow$  **Set** that acts on mappings as follows:
- ▶ for  $f : X \rightarrow Y$ , the mapping  $f^* : X^* \rightarrow Y^*$  is given by the rule

$$f^*([a_1 a_2 \dots a_n]) = [f(a_1) \dots f(a_n)]$$

## The unit

- ▶ What is a natural map  $\eta_X : X \rightarrow X^*$ ?

## The unit

- ▶ What is a natural map  $\eta_X : X \rightarrow X^*$ ?
- ▶ Take  $a \in X$  and map it to the one-letter word  $[a]$ :

$$\eta_X(a) = [a]$$

# The unit

- ▶ What is a natural map  $\eta_X : X \rightarrow X^*$ ?
- ▶ Take  $a \in X$  and map it to the one-letter word  $[a]$ :

$$\eta_X(a) = [a]$$

- ▶ We claim that, for every mapping  $f : X \rightarrow Y$  of sets, the square

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & X^* \\ f \downarrow & & \downarrow f^* \\ Y & \xrightarrow{\eta_Y} & Y^* \end{array}$$

commutes.

# The unit

► Why?

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & X^* \\ f \downarrow & & \downarrow f^* \\ Y & \xrightarrow{\eta_Y} & Y^* \end{array}$$

$$\begin{array}{ccc} a & \xrightarrow{\eta_X} & [a] \\ f \downarrow & & \downarrow f^* \\ f(a) & \xrightarrow{\eta_Y} & [f(a)] \end{array}$$

# The unit

- ▶ Why?

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & X^* \\ f \downarrow & & \downarrow f^* \\ Y & \xrightarrow{\eta_Y} & Y^* \end{array}$$

$$\begin{array}{ccc} a & \xrightarrow{\eta_X} & [a] \\ f \downarrow & & \downarrow f^* \\ f(a) & \xrightarrow{\eta_Y} & [f(a)] \end{array}$$

- ▶ So  $\eta$  is a natural transformation  $\text{id}_{\mathbf{Set}} \rightarrow ()^*$ .

# The multiplication

- ▶ What is a natural map  $\mu_X : (X^*)^* \rightarrow X^*$ ?



# The multiplication

- ▶ What is a natural map  $\mu_X : (X^*)^* \rightarrow X^*$ ?
- ▶ The elements of  $(X^*)^*$  are “words of words”.
- ▶ So it has elements like

$$[[aba][cabcc][bbc]]$$

# The multiplication

- ▶ What is a natural map  $\mu_X : (X^*)^* \rightarrow X^*$ ?
- ▶ The elements of  $(X^*)^*$  are “words of words”.
- ▶ So it has elements like

$$[[aba][cabcc][bbc]]$$

- ▶ We can just concatenate the inner words:

$$\mu_X([[aba][cabcc][bbc]]) = [abacabccbbc]$$

# The multiplication

- ▶ What is a natural map  $\mu_X : (X^*)^* \rightarrow X^*$ ?
- ▶ The elements of  $(X^*)^*$  are “words of words”.
- ▶ So it has elements like

$$[[aba][cabcc][bbc]]$$

- ▶ We can just concatenate the inner words:

$$\mu_X([[aba][cabcc][bbc]]) = [abacabccbbc]$$

- ▶ Note that  $\mu_X$  behaves like a “flattening”.

# The multiplication

- ▶ Is  $\mu$  natural?
- ▶ Yes:

$$\begin{array}{ccc} (X^*)^* & \xrightarrow{\mu_X} & X^* \\ \downarrow (f^*)^* & & \downarrow f^* \\ (Y^*)^* & \xrightarrow{\mu_Y} & Y^* \end{array}$$

# The multiplication

- ▶ Is  $\mu$  natural?
- ▶ Yes:

$$\begin{array}{ccc} (X^*)^* & \xrightarrow{\mu_X} & X^* \\ \downarrow (f^*)^* & & \downarrow f^* \\ (Y^*)^* & \xrightarrow{\mu_Y} & Y^* \end{array}$$

- ▶ For example,

$$\begin{array}{ccc} [[ab][ac]] & \xrightarrow{\quad} & [abac] \\ \downarrow & & \downarrow \\ [[f(a)f(b)][f(a)f(c)]] & \xrightarrow{\quad} & [f(a)f(b)f(a)f(c)] \end{array}$$

$(\mathcal{C}, \eta, \mu)$

. So we have data of the following type:

$(\mathcal{C}, \eta, \mu)$

- . So we have data of the following type:
  - ▶ a category  $\mathcal{C}$ ,

$(\mathcal{C}, \eta, \mu)$

- . So we have data of the following type:
  - ▶ a category  $\mathcal{C}$ ,
  - ▶ a functor  $T : \mathcal{C} \rightarrow \mathcal{C}$ ,



$(\mathcal{C}, \eta, \mu)$

- . So we have data of the following type:
  - ▶ a category  $\mathcal{C}$ ,
  - ▶ a functor  $T : \mathcal{C} \rightarrow \mathcal{C}$ ,
  - ▶ a natural transformation  $\eta : \text{id}_{\mathcal{C}} \rightarrow T$ ,

$(\mathcal{C}, \eta, \mu)$

- . So we have data of the following type:
  - ▶ a category  $\mathcal{C}$ ,
  - ▶ a functor  $T : \mathcal{C} \rightarrow \mathcal{C}$ ,
  - ▶ a natural transformation  $\eta : \text{id}_{\mathcal{C}} \rightarrow T$ ,
  - ▶ a natural transformation  $\mu : T^2 \rightarrow T$ .

# Axioms of a monad

## Right unit axiom

$$\begin{array}{ccc} T(X) & \xrightarrow{T(\eta_X)} & T^2(X) \\ & \searrow \text{id}_{T(X)} & \downarrow \mu_X \\ & & T(X) \end{array}$$

# Axioms of a monad

## Right unit axiom

$$\begin{array}{ccc} T(X) & \xrightarrow{T(\eta_X)} & T^2(X) \\ & \searrow \text{id}_{T(X)} & \downarrow \mu_X \\ & & T(X) \end{array}$$

$$\begin{array}{ccc} [abac] & \xrightarrow{\quad} & [[a][b][a][c]] \\ & \searrow & \downarrow \\ & & [abac] \end{array}$$

# Axioms

## Left unit axiom

$$\begin{array}{ccc} T^2(X) & \xleftarrow{\eta_{T(X)}} & T(X) \\ \mu_X \downarrow & \swarrow \text{id}_{T(X)} & \\ T(X) & & \end{array}$$

# Axioms

## Left unit axiom

$$\begin{array}{ccc} T^2(X) & \xleftarrow{\eta_{T(X)}} & T(X) \\ \mu_X \downarrow & \swarrow \text{id}_{T(X)} & \\ T(X) & & \end{array}$$

$$\begin{array}{ccc} [[abac]] & \xleftarrow{\quad} & [abac] \\ \downarrow & \swarrow & \\ [abac] & & \end{array}$$

# Axioms of a monad

## Associativity axiom

$$\begin{array}{ccc} T^3(X) & \xrightarrow{T(\mu_X)} & T^2(X) \\ \mu_{T(X)} \downarrow & & \downarrow \mu_X \\ T^2(X) & \xrightarrow{\mu_X} & T(X) \end{array}$$

# Axioms of a monad

## Associativity axiom

$$\begin{array}{ccc} T^3(X) & \xrightarrow{T(\mu_X)} & T^2(X) \\ \mu_{T(X)} \downarrow & & \downarrow \mu_X \\ T^2(X) & \xrightarrow{\mu_X} & T(X) \end{array}$$

$$\begin{array}{ccc} [[ab][bc]][[ca]] & \xrightarrow{\quad} & [[abbc][ca]] \\ \downarrow & & \downarrow \\ [[ab][bc][ca]] & \xrightarrow{\quad} & [[abbcca]] \end{array}$$



# Usual definition

## Definition

Let  $\mathcal{C}$  be a category. A monad over  $\mathcal{C}$  is a triple  $(T, \eta, \mu)$  such that

- ▶  $T : \mathcal{C} \rightarrow \mathcal{C}$ ,
- ▶  $\eta : \text{id}_{\mathcal{C}} \rightarrow T$ ,
- ▶  $\mu : T^2 \rightarrow T$
- ▶ such that the unit and associativity axioms hold.

# Slick definition

## Definition

A monad is a monoid in the monoidal category of endofunctors of a category.

The free monoid example works in a much more general setting:

- ▶ Take a variety  $\mathcal{V}$ .

The free monoid example works in a much more general setting:

- ▶ Take a variety  $\mathcal{V}$ .
- ▶ Write  $F_{\mathcal{V}}$  for the endofunctor of **Set** that takes a set  $X$  to the (underlying set of)  $\mathcal{V}$ -free algebra over  $X$ .

The free monoid example works in a much more general setting:

- ▶ Take a variety  $\mathcal{V}$ .
- ▶ Write  $F_{\mathcal{V}}$  for the endofunctor of **Set** that takes a set  $X$  to the (underlying set of)  $\mathcal{V}$ -free algebra over  $X$ .
- ▶ Write  $\eta$  for the obvious natural transformation that “embeds variables”.

The free monoid example works in a much more general setting:

- ▶ Take a variety  $\mathcal{V}$ .
- ▶ Write  $F_{\mathcal{V}}$  for the endofunctor of **Set** that takes a set  $X$  to the (underlying set of)  $\mathcal{V}$ -free algebra over  $X$ .
- ▶ Write  $\eta$  for the obvious natural transformation that “embeds variables”.
- ▶ Write  $\mu$  for the obvious natural transformation that “flattens terms over terms”.

The free monoid example works in a much more general setting:

- ▶ Take a variety  $\mathcal{V}$ .
- ▶ Write  $F_{\mathcal{V}}$  for the endofunctor of **Set** that takes a set  $X$  to the (underlying set of)  $\mathcal{V}$ -free algebra over  $X$ .
- ▶ Write  $\eta$  for the obvious natural transformation that “embeds variables”.
- ▶ Write  $\mu$  for the obvious natural transformation that “flattens terms over terms”.

Then  $(F_{\mathcal{V}}, \eta, \mu)$  is a monad over **Set**.

# Algebras for a monad

## Definition

Let  $(T, \eta, \mu)$  be a monad over  $\mathcal{C}$ . Then an algebra for  $T$  is a pair  $(X, \alpha)$ , where

- ▶  $X$  is an object of  $\mathcal{C}$  and



# Algebras for a monad

## Definition

Let  $(T, \eta, \mu)$  be a monad over  $\mathcal{C}$ . Then an algebra for  $T$  is a pair  $(X, \alpha)$ , where

- ▶  $X$  is an object of  $\mathcal{C}$  and
- ▶  $\alpha : T(X) \rightarrow X$

# Algebras for a monad

## Definition

Let  $(T, \eta, \mu)$  be a monad over  $\mathcal{C}$ . Then an algebra for  $T$  is a pair  $(X, \alpha)$ , where

- ▶  $X$  is an object of  $\mathcal{C}$  and
- ▶  $\alpha : T(X) \rightarrow X$
- ▶ such that the following diagrams commute

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & T(X) \\ & \searrow \text{id}_X & \downarrow \alpha \\ & & X \end{array}$$

$$\begin{array}{ccc} T^2(X) & \xrightarrow{\mu_X} & T(X) \\ T(\alpha) \downarrow & & \downarrow \alpha \\ T(X) & \xrightarrow{\alpha} & X \end{array}$$

## What are algebras for the free monoid monad?

- ▶ An algebra over a set  $X$  equips  $X$  a mapping  $\alpha : X^* \rightarrow X$ .

# What are algebras for the free monoid monad?

- ▶ An algebra over a set  $X$  equips  $X$  a mapping  $\alpha : X^* \rightarrow X$ .
- ▶ The commutative triangle

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & T(X) \\ & \searrow \text{id}_X & \downarrow \alpha \\ & & X \end{array}$$

just says that

$$\alpha([a]) = a$$

What does the square

$$\begin{array}{ccc} T^2(X) & \xrightarrow{\mu_X} & T(X) \\ T(\alpha) \downarrow & & \downarrow \alpha \\ T(X) & \xrightarrow{\alpha} & X \end{array}$$

tell us about  $\alpha$ ?

The square is a machine for proving equalities!

Let us define a binary operation  $\odot : X \times X \rightarrow X$  by the rule  $p \odot q := \alpha([pq])$ .

Let us define a binary operation  $\odot : X \times X \rightarrow X$  by the rule  
 $p \odot q := \alpha([pq])$ .  
We claim that  $\odot$  is associative.

Let us define a binary operation  $\odot : X \times X \rightarrow X$  by the rule  $p \odot q := \alpha([pq])$ .

We claim that  $\odot$  is associative.

Proof.

Let us plug the term  $[[ab][c]]$  to the top-left corner of the square.



Let us define a binary operation  $\odot : X \times X \rightarrow X$  by the rule  $p \odot q := \alpha([pq])$ .

We claim that  $\odot$  is associative.

**Proof.**

Let us plug the term  $[[ab][c]]$  to the top-left corner of the square.

$$\begin{array}{ccc}
 [[ab][c]] & \xrightarrow{\mu_X} & [abc] \\
 \downarrow T(\alpha) & & \downarrow \alpha \\
 [\alpha([ab])\alpha([c])] & \xrightarrow{\alpha} & (a \odot b) \odot c = \alpha([abc])
 \end{array}$$

This proves the equality in the bottom-right corner of the diagram. □

Analogously, we may plug  $[[a][bc]]$  to the top left corner of the diagram to prove that  $a \odot (b \odot c) = \alpha([abc])$ , so the associativity axiom holds.

Let us put  $e := \alpha(\square)$ .

Chasing  $[[a]\square]$  around the square

$$\begin{array}{ccc} [[a]\square] & \xrightarrow{\quad} & [a] \\ \downarrow & & \downarrow \\ [\alpha([a])\alpha(\square)] = [a, e] & \xrightarrow{\quad} & \alpha([a, e]) = \alpha([a]) \end{array}$$

gives us the equality  $a \odot e = a$ . Similarly, we get  $e \odot a = a$ .

## Algebras are algebras

- ▶ Thus, every algebra  $(X, \alpha)$  for the free monoid monad gives rise to a monoid  $(X, \odot, e)$ .

## Algebras are algebras

- ▶ Thus, every algebra  $(X, \alpha)$  for the free monoid monad gives rise to a monoid  $(X, \odot, e)$ .
- ▶ On the other hand, if we start with a monoid  $(X, \odot, e)$  and define  $\alpha : X^* \rightarrow X$  by the rules  $\alpha([]) = e$  and

$$\alpha([a_1 \dots a_n]) = a_1 \odot \dots \odot a_n$$

then  $(X, \alpha)$  is an algebra for the free monoid monad.

So, we may identify algebras for the free monoid monad with monoids.

Again, this works for every variety of algebras.

# Morphisms of algebras

# Morphisms of algebras

## Definition

If  $(A, \alpha)$ ,  $(B, \beta)$  are algebras for a monad  $T$ , then a morphism of algebras  $f : (A, \alpha) \rightarrow (B, \beta)$  is a morphism  $f : A \rightarrow B$  in the underlying category such that the square

$$\begin{array}{ccc} T(A) & \xrightarrow{T(f)} & T(B) \\ \alpha \downarrow & & \downarrow \beta \\ A & \xrightarrow{f} & B \end{array}$$

commutes.

This definition works as intended; we obtain exactly the usual notion of morphism of algebras.



# What is it good for?

- ▶ The definitions of monads and their algebras are given entirely in categorical terms.

# What is it good for?

- ▶ The definitions of monads and their algebras are given entirely in categorical terms.
- ▶ So, we have generalized the notion of an algebra in a (particular) variety from “a set such that ...”

# What is it good for?

- ▶ The definitions of monads and their algebras are given entirely in categorical terms.
- ▶ So, we have generalized the notion of an algebra in a (particular) variety from “a set such that ...” to “an object in a category such that ...”.

# What is it good for?

- ▶ The definitions of monads and their algebras are given entirely in categorical terms.
- ▶ So, we have generalized the notion of an algebra in a (particular) variety from “a set such that ...” to “an object in a category such that ...”.
- ▶ This gives us a proper framework to speak about things like “topological/partially ordered/whatever monoids/groups/whatever”.

# What is it good for?

- ▶ The definitions of monads and their algebras are given entirely in categorical terms.
- ▶ So, we have generalized the notion of an algebra in a (particular) variety from “a set such that ...” to “an object in a category such that ...”.
- ▶ This gives us a proper framework to speak about things like “topological/partially ordered/whatever monoids/groups/whatever”.
- ▶ But sometimes, the algebras for a monad do not look like algebras, at all.

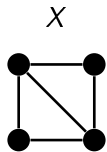
# The category of graphs

## Definition

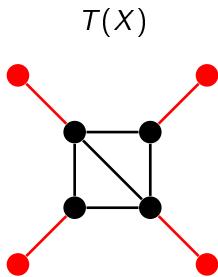
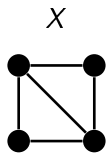
A graph  $G$  is a set  $V(G)$  of vertices, equipped with a system of two-element sets  $E(G)$ , called edges.

A morphism of graphs  $f : A \rightarrow B$  is a set-mapping  $f : V(A) \rightarrow V(B)$  such that  $\{x, y\} \in E(A)$  implies  $\{f(x), f(y)\} \in E(B)$ .

# A monad on graphs

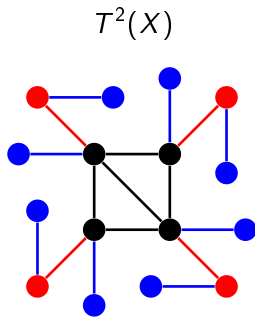
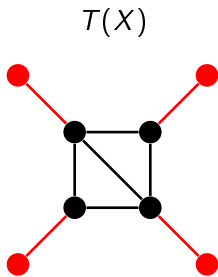
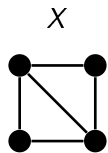


## A monad on graphs

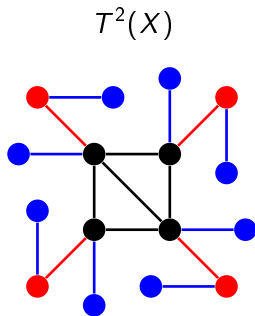
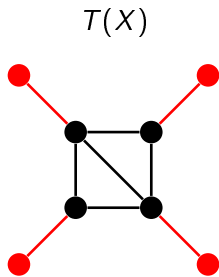
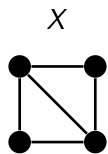




# A monad on graphs



# A monad on graphs



$$X \xrightarrow{\eta_X} T(X) \xleftarrow{\mu_X} T^2(X)$$

# What are the algebras?

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & T(X) \\ & \searrow \text{id}_X & \downarrow \alpha \\ & & X \end{array}$$

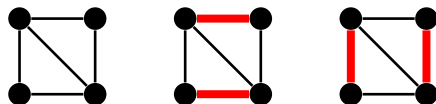
$$\begin{array}{ccc} T^2(X) & \xrightarrow{\mu_X} & T(X) \\ T(\alpha) \downarrow & & \downarrow \alpha \\ T(X) & \xrightarrow{\alpha} & X \end{array}$$

# Perfect matchings

## Definition

A perfect matching on a graph  $A$  is a subset  $M$  of the set of edges of  $A$  such that every vertex is in exactly one edge from  $M$ .

## Example



## Similar monads

There are similar monads on the category of graphs, their algebras are:

## Similar monads

There are similar monads on the category of graphs, their algebras are:

- ▶ (edge) packing of triangles,

# Similar monads

There are similar monads on the category of graphs, their algebras are:

- ▶ (edge) packing of triangles,
- ▶ (vertex) disjoint cycle cover.

## Similar monads

There are similar monads on the category of graphs, their algebras are:

- ▶ (edge) packing of triangles,
- ▶ (vertex) disjoint cycle cover.

Both of these are well-known things.



## The arrow category $\mathbf{Set}^{\rightarrow}$

- ▶ Objects: mappings in  $\mathbf{Set}$ .
- ▶ Morphisms: commutative squares.

## The arrow category $\mathbf{Set}^{\rightarrow}$

- ▶ Objects: mappings in  $\mathbf{Set}$ .
- ▶ Morphisms: commutative squares.

Explicitly,

- ▶ for  $f : A_1 \rightarrow A_2$

# The arrow category $\mathbf{Set}^{\rightarrow}$

- ▶ Objects: mappings in  $\mathbf{Set}$ .
- ▶ Morphisms: commutative squares.

Explicitly,

- ▶ for  $f : A_1 \rightarrow A_2$
- ▶  $g : B_1 \rightarrow B_2$

# The arrow category $\mathbf{Set}^{\rightarrow}$

- ▶ Objects: mappings in  $\mathbf{Set}$ .
- ▶ Morphisms: commutative squares.

Explicitly,

- ▶ for  $f : A_1 \rightarrow A_2$
- ▶  $g : B_1 \rightarrow B_2$
- ▶ a morphism  $f \rightarrow g$  is a pair of mappings

# The arrow category $\mathbf{Set}^{\rightarrow}$

- ▶ Objects: mappings in  $\mathbf{Set}$ .
- ▶ Morphisms: commutative squares.

Explicitly,

- ▶ for  $f : A_1 \rightarrow A_2$
- ▶  $g : B_1 \rightarrow B_2$
- ▶ a morphism  $f \rightarrow g$  is a pair of mappings
- ▶  $h_1 : A_1 \rightarrow B_1$  and  $h_2 : A_2 \rightarrow B_2$  such that

$$\begin{array}{ccc} A_1 & \xrightarrow{f} & A_2 \\ h_1 \downarrow & & \downarrow h_2 \\ B_1 & \xrightarrow{g} & B_2 \end{array}$$

commutes.

# Retractions

## Definition

Let  $f : X \rightarrow Y$  be a mapping in **Set**. A mapping  $f' : Y \rightarrow X$  is a retraction of  $f$  if the diagram

$$\begin{array}{ccc} Y & \xrightarrow{f'} & X \\ & \searrow \text{id}_Y & \downarrow f \\ & & Y \end{array}$$

commutes.

# Free retractions

- ▶ Let  $f : X \rightarrow Y$  be an object of  $\mathbf{Set}^{\rightarrow}$ .
- ▶ What could a “free retraction” over  $f$  be?

# The free retraction monad

## The endofunctor

The endofunctor  $T : \mathbf{Set}^{\rightarrow} \rightarrow \mathbf{Set}^{\rightarrow}$  takes every object  $f : X \rightarrow Y$  of  $\mathbf{Set}^{\rightarrow}$  to its “extension by the  $\text{id}_Y$ ”

$$T(X \xrightarrow{f} Y) = (Y \oplus X \xrightarrow{\langle \text{id}_Y, f \rangle} Y)$$

and acts on morphisms of  $\mathbf{Set}^{\rightarrow}$  as follows:

$$\begin{array}{ccc} \begin{array}{ccc} A_1 & \xrightarrow{f} & A_2 \\ h_1 \downarrow & & \downarrow h_2 \\ B_1 & \xrightarrow{g} & B_2 \end{array} & \xrightarrow{T} & \begin{array}{ccc} A_2 \oplus A_1 & \xrightarrow{\langle \text{id}_{A_2}, f \rangle} & A_2 \\ h_2 \oplus h_1 \downarrow & & \downarrow h_2 \\ B_2 \oplus B_1 & \xrightarrow{\langle \text{id}_{B_2}, g \rangle} & B_2 \end{array} \end{array}$$



# The free retraction monad

## The unit and the multiplication

$$\begin{array}{ccc} X & \xrightarrow{\eta_f} & Y \\ \text{id}_X \downarrow & & \downarrow \text{id}_Y \\ Y \oplus X & \xrightarrow{\langle \text{id}_Y, f \rangle} & Y \end{array}$$

$$\begin{array}{ccc} Y \oplus Y \oplus X & \xrightarrow{\langle \text{id}_Y, \text{id}_Y, f \rangle} & Y \\ \langle \nabla_Y, \text{id}_X \rangle \downarrow & & \downarrow \text{id}_Y \\ Y \oplus X & \xrightarrow{\text{id}_Y \oplus f} & X \end{array}$$

# The free retraction monad

## Algebras

An algebra for the free retraction monad is a commutative square

$$\begin{array}{ccc} Y \oplus X & \xrightarrow{\langle \text{id}_Y, f \rangle} & Y \\ \alpha_1 \downarrow & & \downarrow \alpha_2 \\ X & \xrightarrow{f} & Y \end{array}$$

# The free retraction monad

## Algebras

An algebra for the free retraction monad is a commutative square

$$\begin{array}{ccc} Y \oplus X & \xrightarrow{\langle \text{id}_Y, f \rangle} & Y \\ \alpha_1 \downarrow & & \downarrow \alpha_2 \\ X & \xrightarrow{f} & Y \end{array}$$

Moreover, the properties from the definition of an algebra for a monad imply that

- ▶  $\alpha_2 = \text{id}_Y$
- ▶  $\alpha_1$  is equal to  $\text{id}_X$  on  $X$

This implies that the algebras over  $f : X \rightarrow Y$  are in a one-to-one correspondence with certain mappings  $f' : Y \rightarrow X$ .

# The free retraction monad

## Algebras

$$\begin{array}{ccc} Y \oplus X & \xrightarrow{\text{id}_Y \oplus f} & Y \\ \langle f', \text{id}_X \rangle \downarrow & & \downarrow \text{id}_Y \\ X & \xrightarrow{f} & Y \end{array}$$

It turns out that the square is an algebra for the free retraction monad iff  $f \circ f' = \text{id}_Y$ .

# Comonads

## Definition

A monad on the category  $\mathcal{C}^{op}$  is called a comonad on the category  $\mathcal{C}$ .

# Comonads

Unwinding this definition, this means that a comonad on  $\mathcal{C}$  consists of a triple  $(S, \epsilon, \sigma)$  such that

- ▶  $S$  is an endofunctor,
- ▶  $\epsilon : S \rightarrow \text{id}_{\mathcal{C}}$ ,
- ▶  $\sigma : S \rightarrow S^2$

satisfying the conditions dual to the conditions in the definition of a monad.

## A comonad on **Set**

- ▶ Consider the endofunctor “free semigroup”, that takes a set  $X$  to the set of all nonempty words over  $X$ , denoted by  $X^+$ .
- ▶ What is a natural mapping  $\epsilon_X : X^+ \rightarrow X$ ?
- ▶ What is a natural mapping  $\sigma_X : X^+ \rightarrow (X^+)^+$ ?

## A comonad on **Set**

- ▶ Consider the endofunctor “free semigroup”, that takes a set  $X$  to the set of all nonempty words over  $X$ , denoted by  $X^+$ .
- ▶ What is a natural mapping  $\epsilon_X : X^+ \rightarrow X$ ?
- ▶ What is a natural mapping  $\sigma_X : X^+ \rightarrow (X^+)^+$ ?

Possible answers:

$$\epsilon_X([x_1 \dots x_n]) = x_1$$



## A comonad on **Set**

- ▶ Consider the endofunctor “free semigroup”, that takes a set  $X$  to the set of all nonempty words over  $X$ , denoted by  $X^+$ .
- ▶ What is a natural mapping  $\epsilon_X : X^+ \rightarrow X$ ?
- ▶ What is a natural mapping  $\sigma_X : X^+ \rightarrow (X^+)^+$ ?

Possible answers:

$$\epsilon_X([x_1 \dots x_n]) = x_1$$

$$\sigma_X([x_1 \dots x_n]) = [[x_1 \dots x_n][x_2 \dots x_n] \dots [x_n]]$$

# Coalgebras

## Definition

A coalgebra for a comonad  $(S, \epsilon, \sigma)$  is a pair  $(C, \gamma)$ , where  $\gamma : C \rightarrow S(C)$  satisfying the diagrams dual to the diagrams in the definition of a monad:

$$\begin{array}{ccc} C & \xrightarrow{\gamma} & S(C) \\ & \searrow \text{id}_C & \downarrow \epsilon_C \\ & & C \end{array} \qquad \begin{array}{ccc} C & \xrightarrow{\gamma} & S(C) \\ \gamma \downarrow & & \downarrow \sigma_C \\ S(C) & \xrightarrow{S(\gamma)} & S^2(C) \end{array}$$

# Coalgebras

## Definition

A coalgebra for a comonad  $(S, \epsilon, \sigma)$  is a pair  $(C, \gamma)$ , where  $\gamma : C \rightarrow S(C)$  satisfying the diagrams dual to the diagrams in the definition of a monad:

$$\begin{array}{ccc} C & \xrightarrow{\gamma} & S(C) \\ & \searrow \text{id}_C & \downarrow \epsilon_C \\ & & C \end{array} \qquad \begin{array}{ccc} C & \xrightarrow{\gamma} & S(C) \\ \gamma \downarrow & & \downarrow \sigma_C \\ S(C) & \xrightarrow{S(\gamma)} & S^2(C) \end{array}$$

The coalgebras for  $(\ )^+$  are “directed forests with finite branches”;  $\gamma$  takes a vertex to its branch.

There are other monads with the same endofunctor and  $\epsilon$ , for example:

There are other monads with the same endofunctor and  $\epsilon$ , for example:

- ▶  $\sigma_X([x_1x_2 \dots x_n]) = [[x_1x_2 \dots x_n][x_2x_3 \dots x_n] \dots [x_nx_1 \dots x_2]]$ ,  
the algebras are disjoint unions of directed cycles.

There are other monads with the same endofunctor and  $\epsilon$ , for example:

- ▶  $\sigma_X([x_1x_2 \dots x_n]) = [[x_1x_2 \dots x_n][x_2x_3 \dots x_n] \dots [x_nx_1 \dots x_2]]$ , the algebras are disjoint unions of directed cycles.
- ▶  $\sigma_X([x_1x_2 \dots x_n]) = [[x_1x_2 \dots x_n][x_2][x_3] \dots [x_n]]$ , the algebras are “partitions with a fixed nonempty subset in each of the blocks”.

Thank you for your attention.