

Monomial Clones

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Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials $x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

- 1 Introduction
- 2 Monomial Clones on E_3
- 3 Monomials $x^s y^t$

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

I Introduction

What is a clone?

Introduction

Clone

Introducing a field
Finite Field

Monomial Clones on E_3

Monomial Clones on
 E_2
Monomial Clones on
 E_3

Monomials

$x^s y^t$

Monomials $x^s y^t$

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

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For $k > 1$ let $E_k = \{0, 1, \dots, k-1\}$

$f(x_1, \dots, x_n)$: n -variable function on E_k
i.e., $f : (E_k)^n \rightarrow E_k$

$\mathcal{O}_k^{(n)}$: the set of n -variable functions on E_k

$$\mathcal{O}_k = \bigcup_{n=1}^{\infty} \mathcal{O}_k^{(n)}$$

$e_i^n(x_1, \dots, x_i, \dots, x_n) = x_i$: (n -variable i -th) projection

\mathcal{J}_k : the set of projections on E_k

We define (functional) “*composition*” of functions in a usual way.

Example of composition

Given $f(x_1, x_2, x_3) \in \mathcal{O}_k^{(3)}$ and $g(x_1, x_2) \in \mathcal{O}_k^{(2)}$,
an example of composition of f and g is

$$f(g(x_1, x_2), x_3, x_4).$$

Definition

$\mathcal{C} (\subseteq \mathcal{O}_k) : \text{clone on } E_k$



- (i) $\mathcal{C} \supseteq \mathcal{J}_k$
- (ii) \mathcal{C} is closed under composition

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“ *lattice of clones* ” on E_k

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\mathcal{L}_k : the set of all clones on E_k ,
“*lattice of clones*” on E_k

\mathcal{L}_k contains

- the greatest element: \mathcal{O}_k
- the least element: \mathcal{J}_k

Basic Facts on Clones

- (1) For $k = 2$, \mathcal{L}_2 : countable
completely known (E. Post)
- (2) For $k \geq 3$, \mathcal{L}_k : continuum
mostly **unknown**

Basic Facts on Clones

- (1) For $k = 2$, \mathcal{L}_2 : countable
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- (2) For $k \geq 3$, \mathcal{L}_k : continuum
mostly **unknown**
- (3) *Maximal clones*
For each $k \geq 2$, completely known (I. Rosenberg)
- (4) *Minimal clones*
For $k = 2$, completely known (E. Post)
For $k = 3$, completely known (B. Csákány)
For $k = 4$, ???
For $k \geq 5$, very little is known

Introducing the structure of a field into E_k

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

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One is weak; Two is
strong

Two is strong

One is weak

We introduce the structure of a field into E_k .

For this purpose, it is required that

$$k = \text{a prime power,}$$

i.e., $k = p^e$ for a prime p and a positive integer e .

Then, consider $E_k = \{0, 1, \dots, k\}$ as the finite field $\text{GF}(k)$.

Polynomials over K

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials
 $x^s y^t$

Monomials x^s

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One is weak; Two is strong

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One is weak

For arbitrary field K and a positive integer n , an (n -variable) *polynomial* over K is an n -variable function

$$\sum_{0 \leq i_1, \dots, i_n \leq e} a_{i_1, \dots, i_n} x_1^{i_1} \cdots x_n^{i_n}$$

for some $e \in \mathbb{N}$ and $a_{i_1, \dots, i_n} \in K$.

In other words, a polynomial is a finite sum of terms.

Polynomials over K

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials
 $x^s y^t$

Monomials x^s

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for some $e \in \mathbb{N}$ and $a_{i_1, \dots, i_n} \in K$.

In other words, a polynomial is a finite sum of terms.

Well-known: An n -variable function $f(x_1, \dots, x_n)$ over K is uniquely expressed as a polynomial.

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

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One is weak; Two is strong

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Consider two functions f and g expressed as polynomials on $E_2 (= \{0, 1\})$.

- 1 $f(x, y) = x y + 1$
- 2 $g(x, y) = x y + x + y$

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials $x^s y^t$

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① $f(x, y) = x y + 1$

② $g(x, y) = x y + x + y$

Q1 : Which function is stronger with respect to the 'productive power' by (functional) composition ?

Quiz 1 (EASY)

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials
 $x^s y^t$

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strong

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① $f(x, y) = xy + 1$

② $g(x, y) = xy + x + y$

Q1 : Which function is stronger with respect to the 'productive power' by (functional) composition ?

A : f is stronger.

In fact,

① $f(x, y) = \text{NAND}(x, y)$

② $g(x, y) = \text{OR}(x, y)$

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

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Consider three functions u , v and w expressed as polynomials on $E_3 (= \{0, 1, 2\})$.

- 1 $u(x, y) = x^2 y^2 + x y^2 + x^2 y + 2xy + x + y$
- 2 $v(x, y) = x^2 y^2 + x y^2 + x^2 y + xy + x + y$
- 3 $w(x, y) = x^2 y^2 + x y^2 + x^2 y + 2xy + x + y + 1$

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

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- 3 $w(x, y) = x^2 y^2 + x y^2 + x^2 y + 2xy + x + y + 1$

Q2 : Which function is the weakest ?

Quiz 2 (HARD)

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

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Consider three functions u , v and w expressed as polynomials on $E_3 (= \{0, 1, 2\})$.

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Q2 : Which function is the weakest ?

A : u is the weakest.

Quiz 2 (HARD)

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

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- ③ $w(x, y) = x^2 y^2 + x y^2 + x^2 y + 2xy + x + y + 1$

Q2 : Which function is the weakest ?

A : u is the weakest. In fact,

- (1) $u(x, y)$ generates a minimal clone,
- (2) $w(x, y)$ is Webb function ($= \max(x, y) + 1$) which is known to generate all functions on E_3 , and
- (3) $v(x, y)$ stays somewhere in-between.

How to get a polynomial corresponding to a function:

GIVEN: $f(x_1, \dots, x_n)$

i.e., a mapping $f : K^n \rightarrow K$

To GET:
$$\sum_{0 \leq i_1, \dots, i_n \leq e} a_{i_1, \dots, i_n} x_1^{i_1} \cdots x_n^{i_n}$$

How to get a polynomial corresponding to a function:

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METHOD: “Lagrange interpolation formula”

Example

Suppose $f(x, y, z)$ is a 3-variable function on $E_3 = \{0, 1, 2\}$.

For $a, b, c \in E_3$, define

$$t_{abc}(x, y, z) = \prod_{a' \in E_3 \setminus \{a\}} \frac{x - a'}{a - a'} \cdot \prod_{b' \in E_3 \setminus \{b\}} \frac{y - b'}{b - b'} \cdot \prod_{c' \in E_3 \setminus \{c\}} \frac{z - c'}{c - c'}$$

Then

$$t_{abc}(x, y, z) = \begin{cases} 1 & \text{if } x = a, y = b, z = c \\ 0 & \text{otherwise} \end{cases}$$

Hence

$$f(x, y, z) = \sum_{(a,b,c) \in E_3^3} f(a, b, c) \cdot t_{abc}(x, y, z)$$

In General

For an n -variable function $f(x_1, \dots, x_n)$ on E_k ,
we have

$$f(x_1, \dots, x_n) = \sum_{(a_1, \dots, a_n) \in E_k^n} f(a_1, \dots, a_n) \cdot t_{a_1 \dots a_n}(x_1, \dots, x_n)$$

where

$$t_{a_1 \dots a_n}(x_1, \dots, x_n) = \prod_{1 \leq i \leq n} \left(\prod_{a'_i \in E_k \setminus \{a_i\}} \frac{x_i - a'_i}{a_i - a'_i} \right)$$

Example Let $f(x, y, z)$ be a function defined by

$$f(x, x, y) = f(x, y, x) = f(y, x, x) = x$$

and

$$f(x, y, z) = 0 \quad \text{if} \quad |\{x, y, z\}| = 3.$$

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$$f(x, y, z) = 0 \quad \text{if} \quad |\{x, y, z\}| = 3.$$

Then

$$\begin{aligned} f(x, y, z) &= \frac{(x-1)(x-2)}{(0-1)(0-2)} \cdot \frac{y(y-2)}{1 \cdot (1-2)} \cdot \frac{z(z-2)}{1 \cdot (1-2)} + \dots \\ &+ 2 \cdot \frac{(x-1)(x-2)}{(0-1)(0-2)} \cdot \frac{y(y-1)}{2 \cdot (2-1)} \cdot \frac{z(z-1)}{2 \cdot (2-1)} + \dots \\ &\quad \text{(sum of 12 products)} \\ &= 2x^2(y+z) + 2y^2(z+x) + 2z^2(x+y) \end{aligned}$$

□

Monomials over K

An (n -variable) *monomial* over K is an n -variable polynomial consisting of one term, i.e.,

$$a x_1^{i_1} \cdots x_n^{i_n}$$

for $a \in K$ and $i_1, \dots, i_n \in \mathbb{N}$.

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An (n -variable) monomial m over K is a *monic monomial* if the coefficient of m is 1, i.e., if m is

$$x_1^{i_1} \cdots x_n^{i_n}$$

for $i_1, \dots, i_n \in \mathbb{N}$.

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$$x^s y^t$$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

In what follows, by a monomial we shall mean a monic monomial, that is,

$$\text{“ monomial } = x_1^{i_1} \cdots x_n^{i_n} \text{ ”}$$

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is
strong

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$$\text{“ monomial } = x_1^{i_1} \cdots x_n^{i_n} \text{ ”}$$

A monomial clone is defined as follows.

In what follows, by a monomial we shall mean a monic monomial, that is,

$$\text{“ monomial } = x_1^{i_1} \cdots x_n^{i_n} \text{ ”}$$

A monomial clone is defined as follows.

Definition

A clone C over K is a *monomial clone* if C is generated by some monomial m over K , i.e., $C = \langle m \rangle$.

We review fundamental properties of finite fields.

Proposition

- (1) For any prime power k , there exists a finite field K whose cardinality is k . It is unique up to isomorphism, and is denoted by $\text{GF}(k)$.
- (2) Over $\text{GF}(k)$, it holds that $x^k = x$ for every $x \in \text{GF}(k)$.

Hence, we have:

Corollary

Any n -variable monomial m over $\text{GF}(k)$ is expressed as

$$m = x_1^{i_1} \cdots x_n^{i_n}$$

for some i_1, \dots, i_n with $0 < i_1, \dots, i_n < k$.

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

II Monomial Clones on E_3

To determine all monomial clones on E_3

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials $x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

In this section we determine all monomial clones on E_3 .

To determine all monomial clones on E_3

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on
 E_2

Monomial Clones on
 E_3

Monomials $x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is
strong

Two is strong

One is weak

In this section we determine all monomial clones on E_3 .

Before doing so, we describe monomial clones on E_2 .

Monomial Clones on E_2

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on
 E_2

Monomial Clones on
 E_3

Monomials $x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is
strong

Two is strong

One is weak

Considering the fact that $x^2 = x$ holds on E_2 , it is immediate to see that there exist only two monomial clones over E_2 .

They are:

- (1) $\langle x_1 \rangle$
- (2) $\langle x_1 x_2 \rangle$

Notice that

- (1) $\langle x_1 \rangle$ is the least clone \mathcal{J}_2 , and
- (2) $\langle x_1 x_2 \rangle$ is the set of all monomials on E_2 .

Monomial Clones on E_3

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on
 E_2

Monomial Clones on
 E_3

Monomials $x^s y^t$

Monomials x^s

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One is weak; Two is
strong

Two is strong

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Now we study monomial clones on E_3 .

Since the equality $x^3 = x$ holds on E_3 , and $\text{GF}(3)$ is commutative, monomials that need to be considered are

$$x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2$$

for $s, t \geq 0$ and $s + t > 0$.

Introduction

Clone
Introducing a field
Finite Field

Monomial Clones on E_3

Monomial Clones on E_2
Monomial Clones on E_3

Monomials $x^s y^t$

Monomials x^s
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One is weak; Two is strong
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$s \setminus t$	0	1	2	3
0		x_1^2	$x_1^2 x_2^2$	$x_1^2 x_2^2 x_3^2$
1	x_1	$x_1 x_2^2$	$x_1 x_2^2 x_3^2$	$x_1 x_2^2 x_3^2 x_4^2$
2	$x_1 x_2$	$x_1 x_2 x_3^2$	$x_1 x_2 x_3^2 x_4^2$	$x_1 x_2 x_3^2 x_4^2 x_5^2$
3	$x_1 x_2 x_3$	$x_1 x_2 x_3 x_4^2$	$x_1 x_2 x_3 x_4^2 x_5^2$	$x_1 x_2 x_3 x_4^2 x_5^2 x_6^2$
4	$x_1 x_2 x_3 x_4$	$x_1 x_2 x_3 x_4 x_5^2$	$x_1 x_2 x_3 x_4 x_5^2 x_6^2$	$x_1 x_2 x_3 x_4 x_5^2 x_6^2 x_7^2$
5	$x_1 x_2 x_3 x_4 x_5$	$x_1 x_2 x_3 x_4 x_5 x_6^2$	$x_1 x_2 x_3 x_4 x_5 x_6^2 x_7^2$	$x_1 x_2 x_3 x_4 x_5 x_6^2 x_7^2 x_8^2$

Table : Monomials on E_3 (for small s and t)

$$x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2 \quad (s, t \geq 0, s + t > 0)$$

$$t = 0$$

Lemma

$$(i) \quad s \geq 2 : \text{even} \implies \langle x_1 \cdots x_s \rangle = \langle x_1 x_2 \rangle$$

$$(ii) \quad s \geq 3 : \text{odd} \implies \langle x_1 \cdots x_s \rangle = \langle x_1 x_2 x_3 \rangle$$

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$$x^s y^t$$

Monomials x^s

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Claim 1 (M-clones generated by a monomial with $t = 0$)

(i) There are three monomial clones:

$$\langle x_1 \rangle, \langle x_1 x_2 \rangle \text{ and } \langle x_1 x_2 x_3 \rangle.$$

(ii) $\langle x_1 \rangle =$ the least clone \mathcal{J}_3

$\langle x_1 x_2 \rangle =$ the set of all monomials on E_3

(iii) $\langle x_1 \rangle \subset \langle x_1 x_2 x_3 \rangle \subset \langle x_1 x_2 \rangle$

(Note: \subset denotes the strict inclusion)

$$t = 0$$

Lemma

- (i) $s \geq 2$: even $\implies \langle x_1 \cdots x_s \rangle = \langle x_1 x_2 \rangle$
 (ii) $s \geq 3$: odd $\implies \langle x_1 \cdots x_s \rangle = \langle x_1 x_2 x_3 \rangle$

Claim 1 (M-clones generated by a monomial with $t = 0$)

(i) There are three monomial clones:

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(Note: \subset denotes the strict inclusion)

Proof (i) From Lemma. (ii) Trivial. (iii) The first inclusion is clear. For the second inclusion, see the next page. \square

Proof of “ $\langle x_1 x_2 x_3 \rangle \subset \langle x_1 x_2 \rangle$ ” :

Let

$$\rho = \left\{ \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 2 \end{pmatrix} \right\}$$

Then

$$x_1 x_2 x_3 \in \text{Pol } \rho \quad \text{but} \quad x_1 x_2 \notin \text{Pol } \rho$$

Hence,

$$x_1 x_2 \notin \langle x_1 x_2 x_3 \rangle$$

and

$$\langle x_1 x_2 x_3 \rangle \neq \langle x_1 x_2 \rangle$$



Monomial Clones

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on E_2

E_2

Monomial Clones on E_3

E_3

Monomials $x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

strong

Two is strong

One is weak

$s \setminus t$	0	1	2	3
0		x_1^2	$x_1^2 x_2^2$	$x_1^2 x_2^2 x_3^2$
1	x_1	$x_1 x_2^2$	$x_1 x_2^2 x_3^2$	$x_1 x_2^2 x_3^2 x_4^2$
2	$x_1 x_2$	$x_1 x_2 x_3^2$	$x_1 x_2 x_3^2 x_4^2$	$x_1 x_2 x_3^2 x_4^2 x_5^2$
3	$x_1 x_2 x_3$	$x_1 x_2 x_3 x_4^2$	$x_1 x_2 x_3 x_4^2 x_5^2$	$x_1 x_2 x_3 x_4^2 x_5^2 x_6^2$
4	—	$x_1 x_2 x_3 x_4 x_5^2$	$x_1 x_2 x_3 x_4 x_5^2 x_6^2$	$x_1 x_2 x_3 x_4 x_5^2 x_6^2 x_7^2$
5	—	$x_1 x_2 x_3 x_4 x_5 x_6^2$	$x_1 x_2 x_3 x_4 x_5 x_6^2 x_7^2$	$x_1 x_2 x_3 x_4 x_5 x_6^2 x_7^2 x_8^2$

Table : Monomials on E_3

$$t > 0$$

Lemma

For $t > 0$ we have:

- (i) $s = 0 \implies \langle x_1^2 x_2^2 \cdots x_{t+1}^2 \rangle = \langle x_1^2 x_2^2 \rangle$
- (ii) $s = 1 \implies \langle x_1 x_2^2 \cdots x_{t+1}^2 \rangle = \langle x_1 x_2^2 \rangle$
- (iii) $s \geq 2 : \text{even} \implies \langle x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2 \rangle = \langle x_1 x_2 \rangle$
- (iv) $s \geq 3 : \text{odd} \implies \langle x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2 \rangle = \langle x_1 x_2 x_3 \rangle$

Proof Easy from

$$x \cdot x^2 = x \quad \text{and} \quad x^2 \cdot x^2 = x^2.$$

□

$$t > 0$$

Lemma

For $t > 0$ we have:

- (i) $s = 0 \implies \langle x_1^2 x_2^2 \cdots x_{t+1}^2 \rangle = \langle x_1^2 x_2^2 \rangle$
- (ii) $s = 1 \implies \langle x_1 x_2^2 \cdots x_{t+1}^2 \rangle = \langle x_1 x_2^2 \rangle$
- (iii) $s \geq 2 : \text{even} \implies \langle x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2 \rangle = \langle x_1 x_2 \rangle$
- (iv) $s \geq 3 : \text{odd} \implies \langle x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2 \rangle = \langle x_1 x_2 x_3 \rangle$

Proof Easy from

$$x \cdot x^2 = x \quad \text{and} \quad x^2 \cdot x^2 = x^2. \quad \square$$

Claim 2 (M-clones generated by a monomial with $t = 1$)

- (i) There are two such clones $\langle x_1^2 \rangle$ and $\langle x_1 x_2^2 \rangle$.
- (ii) $\langle x_1 \rangle \subset \langle x_1^2 \rangle \subset \langle x_1 x_2 \rangle$
- (iii) $\langle x_1 \rangle \subset \langle x_1 x_2^2 \rangle \subset \langle x_1 x_2 x_3 \rangle$

Monomial Clones

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on E_2

E_2

Monomial Clones on E_3

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

strong

Two is strong

One is weak

$s \setminus t$	0	1	2	3
0		x_1^2	$x_1^2 x_2^2$	$x_1^2 x_2^2 x_3^2$
1	x_1	$x_1 x_2^2$	$x_1 x_2^2 x_3^2$	$x_1 x_2^2 x_3^2 x_4^2$
2	$x_1 x_2$	—	$x_1 x_2 x_3^2 x_4^2$	$x_1 x_2 x_3^2 x_4^2 x_5^2$
3	$x_1 x_2 x_3$	—	$x_1 x_2 x_3 x_4^2 x_5^2$	$x_1 x_2 x_3 x_4^2 x_5^2 x_6^2$
4	—	—	$x_1 x_2 x_3 x_4 x_5^2 x_6^2$	$x_1 x_2 x_3 x_4 x_5^2 x_6^2 x_7^2$
5	—	—	$x_1 x_2 x_3 x_4 x_5 x_6^2 x_7^2$	$x_1 x_2 x_3 x_4 x_5 x_6^2 x_7^2 x_8^2$

Table : Monomials on E_3

$$t > 0$$

Lemma

For $t > 0$ we have:

- (i) $s = 0 \implies \langle x_1^2 x_2^2 \cdots x_{t+1}^2 \rangle = \langle x_1^2 x_2^2 \rangle$
- (ii) $s = 1 \implies \langle x_1 x_2^2 \cdots x_{t+1}^2 \rangle = \langle x_1 x_2^2 \rangle$
- (iii) $s \geq 2 : \text{even} \implies \langle x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2 \rangle = \langle x_1 x_2 \rangle$
- (iv) $s \geq 3 : \text{odd} \implies \langle x_1 \cdots x_s x_{s+1}^2 \cdots x_{s+t}^2 \rangle = \langle x_1 x_2 x_3 \rangle$

Claim 3 (M-clones generated by a monomial with $t = 2$)

- (i) There is only one such clone $\langle x_1^2 x_2^2 \rangle$.
- (ii) $\langle x_1^2 \rangle \subset \langle x_1^2 x_2^2 \rangle \subset \langle x_1 x_2 \rangle$

$s \setminus t$	0	1	2	3
0		x_1^2	$x_1^2 x_2^2$	—
1	x_1	$x_1 x_2^2$	—	—
2	$x_1 x_2$	—	—	—
3	$x_1 x_2 x_3$	—	—	—
4	—	—	—	—
5	—	—	—	—

Table : Monomials on E_3

Hence, monomial clones over E_3 are the following:

- (1) $\langle x_1 \rangle$ (4) $\langle x_1^2 \rangle$ (6) $\langle x_1^2 x_2^2 \rangle$
 (2) $\langle x_1 x_2 \rangle$ (5) $\langle x_1 x_2^2 \rangle$
 (3) $\langle x_1 x_2 x_3 \rangle$

For example, we show:

$\langle x_1^2 x_2^2 \rangle$ and $\langle x_1 x_2 x_3 \rangle$ are incomparable.

Proof

(a) $\langle x_1^2 x_2^2 \rangle \not\subset \langle x_1 x_2 x_3 \rangle$

Let

$$\rho = \left\{ \left(\begin{array}{c} 2 \\ 2 \end{array} \right) \right\}$$

Then

$$x_1 x_2 x_3 \in \text{Pol } \rho \quad \text{but} \quad x_1^2 x_2^2 \notin \text{Pol } \rho$$

Hence,

$$x_1^2 x_2^2 \notin \langle x_1 x_2 x_3 \rangle$$

and

$$\langle x_1^2 x_2^2 \rangle \not\subset \langle x_1 x_2 x_3 \rangle .$$

(b) $\langle x_1 x_2 x_3 \rangle \not\subseteq \langle x_1^2 x_2^2 \rangle$

Let

$$\tau = \left\{ \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix} \right\}$$

Then

$$x_1^2 x_2^2 \in \text{Pol } \tau \quad \text{but} \quad x_1 x_2 x_3 \notin \text{Pol } \tau$$

Hence,

$$x_1 x_2 x_3 \notin \langle x_1^2 x_2^2 \rangle$$

and

$$\langle x_1 x_2 x_3 \rangle \not\subseteq \langle x_1^2 x_2^2 \rangle .$$

From (a) and (b) we see that $\langle x_1^2 x_2^2 \rangle$ and $\langle x_1 x_2 x_3 \rangle$ are incomparable. □

Summary

$$(a1) \langle x_1 \rangle \subset \langle x_1 x_2^2 \rangle \subset \langle x_1 x_2 x_3 \rangle \subset \langle x_1 x_2 \rangle$$

$$(a2) \langle x_1 \rangle \subset \langle x_1^2 \rangle \subset \langle x_1^2 x_2^2 \rangle \subset \langle x_1 x_2 \rangle$$

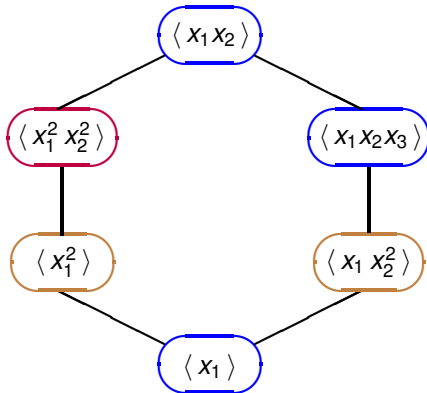
$$(b1) \langle x_1^2 \rangle \not\subset \langle x_1 x_2^2 \rangle, \langle x_1 x_2^2 \rangle \not\subset \langle x_1^2 \rangle$$

$$(b2) \langle x_1^2 \rangle \not\subset \langle x_1 x_2 x_3 \rangle, \langle x_1 x_2 x_3 \rangle \not\subset \langle x_1^2 \rangle$$

$$(b3) \langle x_1^2 x_2^2 \rangle \not\subset \langle x_1 x_2^2 \rangle, \langle x_1 x_2^2 \rangle \not\subset \langle x_1^2 x_2^2 \rangle$$

$$(b4) \langle x_1^2 x_2^2 \rangle \not\subset \langle x_1 x_2 x_3 \rangle, \langle x_1 x_2 x_3 \rangle \not\subset \langle x_1^2 x_2^2 \rangle$$

Case: $k = 3$



Note: $\langle x_1 x_2 \rangle =$ the set of all monomials on E_3
 $\langle x_1 \rangle = \mathcal{J}_3$

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials
 $x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is
strong

Two is strong

One is weak

III Monomials $x^s y^t$

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is
strong

Two is strong

One is weak

In this section we investigate monomial clones on E_k which are generated by unary functions (1-variable functions) and binary functions (2-variable functions).

We put emphasis on monomials which generate minimal clones in the lattice \mathcal{L}_k of clones.

Let \mathcal{M}_k be the set of monomial clones on E_k .

Remark

For any $C \in \mathcal{M}_k$,

C is minimal in $\mathcal{M}_k \implies C$ is minimal in \mathcal{L}_k
(i.e., C is a **minimal clone**)

Let \mathcal{M}_k be the set of monomial clones on E_k .

Remark

For any $C \in \mathcal{M}_k$,

$$C \text{ is minimal in } \mathcal{M}_k \implies C \text{ is minimal in } \mathcal{L}_k$$

(i.e., C is a minimal clone)

Hence, we want to find:

monomial clones which are minimal in \mathcal{M}_k .

(= a motivation for the later study of 2-variable monomials)

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

As for unary functions generating minimal clones, the next fact is well-known.

Fact: A unary function $f \in \mathcal{O}_k^{(1)}$ generates a minimal clone if and only if

- (1) f is a permutation of prime order, or
- (2) f is not a permutation and satisfies $f \circ f = f$.

Now, when is a unary monomial x^s a permutation?

A (trivial) answer is:

Lemma

For a prime-power $k > 1$ and $0 < s < k$, the following are equivalent.

- (1) x^s is a permutation on E_k
- (2) $s^i \equiv 1 \pmod{k-1}$ for some $i > 1$
- (3) s and $k-1$ are co-prime, i.e., $(s, k-1) = 1$.

(Remark: Due to Fermat-Euler Theorem)

Example: Unary minimal monomials for $k = 3, 5, 7, 11, 13$

(1) $k = 3$: $\langle x^2 \rangle$ is minimal.

(2) $k = 5$: $\langle x^s \rangle$ is minimal for $s = 3, 4$.

$$\langle x^4 \rangle \subset \langle x^2 \rangle$$

(3) $k = 7$: $\langle x^s \rangle$ is minimal for $s = 3, 4, 5, 6$.

$$\langle x^4 \rangle \subset \langle x^2 \rangle$$

(4) $k = 11$: $\langle x^s \rangle$ is minimal for $s = 5, 6, 9$.

$$\langle x^6 \rangle \subset \langle x^4 \rangle \subset \langle x^2 \rangle = \langle x^8 \rangle$$

$$\langle x^9 \rangle \subset \langle x^3 \rangle = \langle x^7 \rangle$$

(5) $k = 13$: $\langle x^s \rangle$ is minimal for $s = 4, 5, 6, 7, 9, 11$

$$\langle x^4 \rangle \subset \langle x^8 \rangle \subset \langle x^2 \rangle; \langle x^4 \rangle \subset \langle x^{10} \rangle$$

$$\langle x^9 \rangle \subset \langle x^3 \rangle$$

Monomials $x^s y^t$

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is
strong

Two is strong

One is weak

Now, we consider 2-variable monomials $x^s y^t$ and clones generated by them. (For convenience we use x and y , instead of x_1 and x_2 , for the variable symbols.)

More precisely, we consider

$$x^s y^t \quad \text{for} \quad 0 < s, t < k$$

with the additional condition

$$s + t = k.$$

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials $x^s y^t$

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

Note 1: If m is a monomial which generates a **non-unary minimal clone** then

(1) m must be a 2-variable monomial $x^s y^t$

and,

(2) since $\langle x^s y^t \rangle$ **does not contain any non-trivial unary functions**, the condition $s + t = k$ must be satisfied.

Note 1: If m is a monomial which generates a **non-unary minimal clone** then

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and,

(2) since $\langle x^s y^t \rangle$ **does not contain any non-trivial unary functions**, the condition $s + t = k$ must be satisfied.

Note 2: For $u, v \in \mathbb{N}$ with $0 < u, v < k$,

$$x^u y^v \in \langle x^s y^t \rangle \implies u + v = k$$

i.e., this condition on the exponents is *preserved* by composition.

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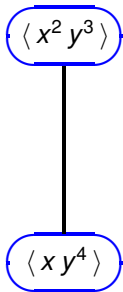
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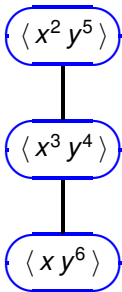
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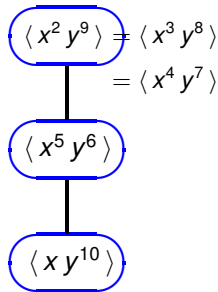
Case: $k = 5, 7, 11$



$k = 5$

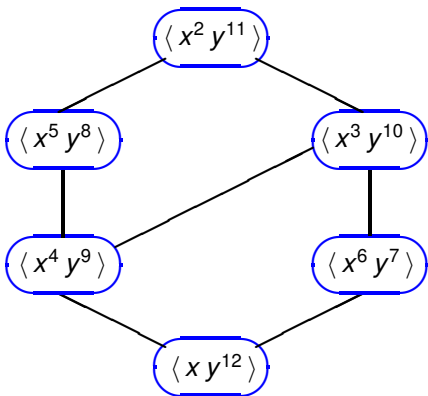


$k = 7$



$k = 11$

Case: $k = 13$



$k = 13$

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$$x^s y^t$$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

Now, what observation do you get from these results ?

Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials $x^s y^t$

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

Now, what observation do you get from these results ?

My observation is:

One is weak, and **two** is strong !

Lemma

Let k be a prime power. For clones on $\text{GF}(k)$ we have the following.

$$(1) \quad \langle x y^{k-1} \rangle \subseteq \langle x^2 y^{k-2} \rangle$$

$$(2) \quad \langle x^4 y^{k-4} \rangle \subseteq \langle x^3 y^{k-3} \rangle$$

Lemma

Let k be a prime power. For clones on $\text{GF}(k)$ we have the following.

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Proof (i) Since

$$\begin{aligned} (k-2)^2 &= ((k-1) - 1)^2 \\ &= (k-1)^2 - 2(k-1) + 1 \equiv 1 \pmod{k-1} \end{aligned}$$

we have $x^2(x^2 y^{k-2})^{k-2} = x^{k-1} y$.

Lemma

Let k be a prime power. For clones on $\text{GF}(k)$ we have the following.

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Proof (i) Since

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we have $x^2(x^2 y^{k-2})^{k-2} = x^{k-1} y$.

(ii) Similarly,

$$\begin{aligned} (k-3)^2 &= ((k-1) - 2)^2 \\ &= (k-1)^2 - 4(k-1) + 4 \equiv 4 \pmod{k-1} \end{aligned}$$

implies $x^3(x^3 y^{k-3})^{k-3} = x^{k-4} y^4$. □

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials $x^s y^t$

Monomials $x^s y^t$

One is weak; Two is
strong

Two is strong

One is weak

Proposition

For any prime power $k > 1$ and **any** $0 < s < k$, it holds

$$\langle x^s y^{k-s} \rangle \subseteq \langle x^2 y^{k-2} \rangle.$$

on $\text{GF}(k)$.

Introduction

Clone
Introducing a field
Finite Field

Monomial
Clones on E_3

Monomial Clones on
 E_2
Monomial Clones on
 E_3

Monomials
 $x^s y^t$

Monomials x^s
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One is weak; Two is
strong
Two is strong
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Proposition

For any prime power $k > 1$ and **any** $0 < s < k$, it holds

$$\langle x^s y^{k-s} \rangle \subseteq \langle x^2 y^{k-2} \rangle.$$

on $\text{GF}(k)$.

Proof Proof by **induction**.

Basis: $y^2(y^2 x^{k-2})^{k-2} = x^{(k-2)^2} y^{2k-2} = xy^{k-1}$

Inductive Step:

$$\begin{aligned} (x^s y^{k-s})^2 x^{k-2} &= x^{2s+k-2} y^{2k-2s} = x^{2s-1} y^{k-2s+1} \\ (x^s y^{k-s})^2 y^{k-2} &= x^{2s} y^{3k-2s-2} = x^{2s} y^{k-2s} \end{aligned}$$



Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials $x^s y^t$

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

Lemma

$\langle xy^{k-1} \rangle$ is minimal in \mathcal{M}_k .

Proof For any monomial m in $\langle xy^{k-1} \rangle \setminus \mathcal{J}_k$, it is easy to verify that $xy^{k-1} \in \langle m \rangle$. □

Monomial Clones

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$x^s y^t$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

Question: Is $\langle xy^{k-1} \rangle$ uniquely minimal in \mathcal{M}_k ?

Introduction

Clone
Introducing a field
Finite Field

Monomial
Clones on E_3

Monomial Clones on
 E_2
Monomial Clones on
 E_3

Monomials
 $x^s y^t$

Monomials x^s
Monomials $x^s y^t$
One is weak; Two is
strong
Two is strong
One is weak

Question: Is $\langle xy^{k-1} \rangle$ uniquely minimal in \mathcal{M}_k ?

Conjecture: YES,

Question: Is $\langle xy^{k-1} \rangle$ uniquely minimal in \mathcal{M}_k ?

Conjecture: YES,

that is:

For any prime power $k > 1$ and any $0 < s < k$, it holds that

$$\langle xy^{k-1} \rangle \subseteq \langle x^s y^{k-s} \rangle,$$

in other words,

$$\underline{\underline{xy^{k-1} \in \langle x^s y^{k-s} \rangle.}}$$

Partial results concerning the conjecture

Lemma

Let $k = 2m + 1$. Then

$$xy^{k-1} \in \langle x^m y^{k-m} \rangle$$

Proof Note that $k - 1 = 2m$.

$$\begin{aligned} (x^m y^{m+1})^m (y^m x^{m+1})^{m+1} &= x^{m^2 + (m+1)^2} y^{2m(m+1)} \\ &= xy^{2m} = xy^{k-1} \end{aligned}$$



Lemma

For $k > 2$ and $1 < a < k$, if there exists $e > 1$ satisfying

$$(i) \quad a^e \equiv 1 \pmod{k-1}$$

or

$$(ii) \quad a^e \equiv a \pmod{k-1}$$

then $xy^{k-1} \in \langle x^a y^{k-a} \rangle$.

Lemma

For $k > 2$ and $1 < a < k$, if there exists $e > 1$ satisfying

$$(i) \quad a^e \equiv 1 \pmod{k-1}$$

or

$$(ii) \quad a^e \equiv a \pmod{k-1}$$

then $xy^{k-1} \in \langle x^a y^{k-a} \rangle$.

Proof (i) By repeating substitution of $x^a y^{k-a}$ into x e times, we obtain:

$$\begin{aligned} & ((\dots ((x^a y^{k-a})^a y^{k-a})^a \dots)^a y^{k-a})^a y^{k-a} \\ &= x^{a^e} y^* \\ &= xy^{k-1} \end{aligned}$$

(ii) Similarly, we have:

$$\begin{aligned} & ((\dots ((x^a y^{k-a})^a y^{k-a})^a \dots)^a y^{k-a})^a x^{k-a} \\ &= x^{a^e + (k-a)} y^* \\ &= x^{a+(k-a)} y^* = x^k y^{k-1} = xy^{k-1} \end{aligned}$$



In most cases, the following property holds for $1 < a < k$.

$$(\exists e > 1) a^e \equiv a \pmod{k-1}$$

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$$(\exists e > 1) a^e \equiv a \pmod{k-1}$$

Example ($k = 11$) Table of $a^e \pmod{10}$

$a \setminus e$	1	2	3	4	5	...
2	2	4	8	6	2	...
9	9	1	9	...		
3	3	9	7	1	3	...
8	8	4	2	6	8	...
4	4	6	4	...		
7	7	9	3	1	7	...
5	5	5	...			
6	6	6	...			

Counter-example ($k = 37$) Table of $a^e \pmod{36}$

$a \setminus e$	1	2	3	4	5	6	7	8
2	2	4	8	16	32	28	20	4
35	35	1	35		...			
3	3	9	27	9		...		
34	34	4	28	16	4	...		
4	4	16	28	4		...		
33	33	9	10	9		...		

Introduction

Clone
Introducing a field
Finite Field

Monomial
Clones on E_3

Monomial Clones on
 E_2
Monomial Clones on
 E_3

Monomials
 $x^s y^t$

Monomials $x^s y^t$
One is weak; Two is strong
Two is strong
One is weak

Counter-example ($k = 37$) Table of $a^e \pmod{36}$

$a \setminus e$	1	2	3	4	5	6	7	8
2	2	4	8	16	32	28	20	4
35	35	1	35		...			
3	3	9	27	9		...		
34	34	4	28	16	4	...		
4	4	16	28	4		...		
33	33	9	10	9		...		

However, even in this case, $xy^{36} \in \langle x^3y^{34} \rangle$ holds because

$$3^2 + 34^3 \equiv 9 + 28 \equiv 1 \pmod{36}$$

and

$$(x^3y^{34})^3 (y^3(y^3x^{34})^{34})^{34} = xy^{36}$$

Lemma

Let $k > 2$ be a prime and a be a positive integer. If a and $k - 1$ are coprime, i.e., $\text{GCD}(a, k - 1) = 1$ then

$$xy^{k-1} \in \langle x^a y^{k-a} \rangle$$

Proof If there exists $e > 0$ such that $a^e \equiv 1 \pmod{k-1}$ then the result follows from (i) of the preceding Lemma. Otherwise, there exist d, e such that $1 < d < e$ satisfying $a^d \equiv a^e \pmod{k-1}$. Then we have

$$a^d(a^{e-d} - 1) \equiv 0 \pmod{k-1}.$$

Since $\text{GCD}(a, k - 1) = 1$, it follows that

$$a^{e-d} \equiv 1 \pmod{k-1},$$

which implies

$$x^{a^{e-d}} y^{k-a^{e-d}} = xy^{k-1}$$

and the conclusion follows. □

Monomial Clones

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$$x^s y^t$$

Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak

One more property, which may be of interest:

Lemma

Let k be an odd prime power and suppose that

$$k = 2m + 1 \quad \left(\Leftrightarrow m = \frac{k-1}{2} \right)$$

for $m \geq 3$. Then, for every $s \in \{2, \dots, m-1\}$, we have

$$x^s y^{k-s} \notin \langle x^m y^{k-m} \rangle$$

on $\text{GF}(k)$.

Proof Note that $k - 1 = 2m$. We can show below that all of m^2 , $(m + 1)^2$ and $m(m + 1)$ are equivalent to one of 0 , 1 , m and $m + 1 \pmod{k - 1}$. Here the equivalence (\equiv) is taken for $\pmod{k - 1}$.

$$m^2 = \begin{cases} m(m - 1) + m \equiv m & \text{if } m : \text{odd} \\ m \cdot m \equiv 0 & \text{if } m : \text{even} \end{cases}$$

$$(m + 1)^2 = m^2 + 2m + 1 \equiv m^2 + 1$$

$$\equiv \begin{cases} m + 1 & \text{if } m : \text{odd} \\ 1 & \text{if } m : \text{even} \end{cases}$$

$$m(m + 1) \equiv \begin{cases} 0 & \text{if } m : \text{odd} \\ m^2 + m = m & \text{if } m : \text{even} \end{cases}$$

Hence, among $x^s y^{k-s}$ for $s \in \{1, \dots, m\}$, the terms that can be produced from $x^m y^{k-m}$ by composition are only xy^{k-1} and $x^m y^{k-m}$. □

Monomial Clones

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on E_2

E_2

Monomial Clones on E_3

E_3

Monomials $x^s y^t$

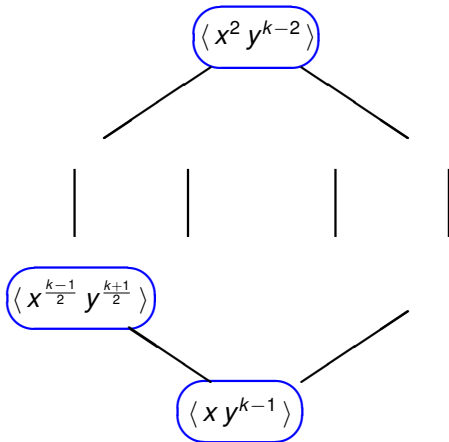
Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak



Monomial Clones

Introduction

Clone

Introducing a field

Finite Field

Monomial Clones on E_3

Monomial Clones on E_2

E_2

Monomial Clones on E_3

E_3

Monomials $x^s y^t$

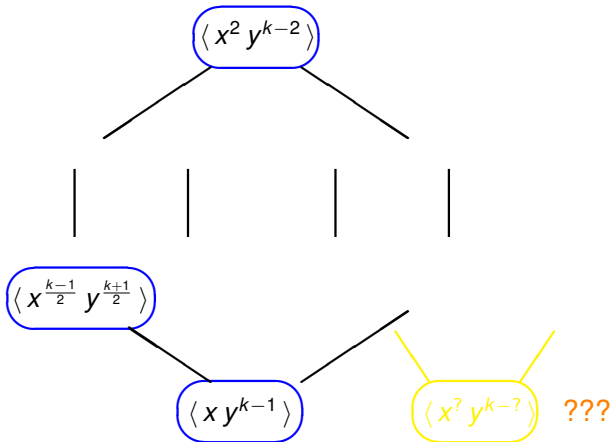
Monomials x^s

Monomials $x^s y^t$

One is weak; Two is strong

Two is strong

One is weak



Introduction

Clone

Introducing a field

Finite Field

Monomial
Clones on E_3

Monomial Clones on

E_2

Monomial Clones on

E_3

Monomials

$$x^s y^t$$

Monomials x^s

Monomials $x^s y^t$

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**Thank you
for your attention !**